Patent
Case No.: 58585US002

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: THALER, ARNE

Application No.: 10/697768     Group Art Unit: 1713

Filed: October 30, 2003     Examiner: Henry S. Hu

Title: AQUEOUS EMULSION POLYMERIZATION OF FUNCTIONALIZED FLUOROMONOMERS

## INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

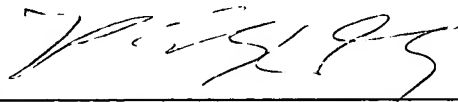| CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)] |
|---|
| I hereby certify that this correspondence is being: |
| ☒ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. |
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| _April 13, 2005_     _Phyllis J. Boettcher_ |
| Date     Signed By: Phyllis J. Boettcher |

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311. Please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p) to Deposit Account No. 13-3723, and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723. One copy of this sheet marked duplicate is also enclosed.

Respectfully submitted,

4/13/05

By: _____

Date

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Telephone No.: (651) 737-4029

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

| Substitute for form 1449A/PTO (modified) | | Application Number | 10/697768 |
|---|---|---|---|
| **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** (Use as many sheets as necessary) Page 1 of 1 | | Filing Date | October 30, 2003 |
| | | First Named Inventor | Thaler, Arne |
| | | Art Unit | 1713 |
| | | Examiner Name | Henry S. Hu |
| | | Attorney Case Number | 58585US002 |

### U.S. Patent Documents

| Exam. Init.* | Cite No. | Document Number Doc. Number-(Kind Code if Known) | Publication Date or Issue Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|---|---|---|---|---|---|
| | A1 | US- | | | |
| | A2 | US- | | | |
| | A3 | US- | | | |
| | A4 | US- | | | |
| | A5 | US- | | | |
| | A6 | US- | | | |
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| | A8 | US- | | | |
| | A9 | US- | | | |
| | A10 | US- | | | |

### Foreign Patent Documents

| Exam. Init.* | Cite No. | Foreign Patent Document Ctry. Code | Number-Kind Code (If known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | Translation (Check if yes) |
|---|---|---|---|---|---|---|---|
| | B1 | JP | 2001-354641 | 12/25/2001 | Asahi Glass Co Ltd. | | X (machine) |
| | B2 | JP | 2002-003466 | 01/09/2002 | Asahi Glass Co Ltd. | | X (machine) |
| | B3 | | | | | | |

### OTHER DOCUMENTS

| Exam. Init.* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | Translation (Check if yes) |
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